

Client Reports on Investment and Ancillary Services

This information sheet explains what kind of regular reports AS SEB Pank presents to the client upon provision of investment and ancillary services.

Quarterly Statement of Securities

The bank presents a quarterly statement of securities to the client within the quarter following the reporting period. The statement includes information about the set of securities held for the account of the client and certain other circumstances related to the securities.

Annual Report on Costs and Charges

The bank submits an annual report on costs and charges to the client during the quarter following the reporting period. The report includes costs and charges that the client has incurred during the year in connection with securities held for the account of the client and provision of investment and ancillary services.

The report on costs and charges also includes a statement of the amount of commission fees that the bank has received during the year from third parties in connection with the provision of investment and ancillary services.

Loss Report

For clients whose account includes leveraged securities or positions in contingent liability transactions the bank presents a loss report, if the value of the security or position has decreased by 10% and then upon each subsequent 10% decrease. The report is security and position-based, unless agreed otherwise with the client. The report is submitted no later than at the end of the business day on which the 10% decrease in value was recorded.

Portfolio Management Report

The bank presents a monthly service report to a portfolio management client. The report is presented in the first half of the month following the reporting month. The report includes, among other things, the composition and value of the portfolio, cash balance at the beginning and end of the reporting period, performance of the portfolio during the reporting period, a comparison of the performance of the portfolio and the performance of the agreed benchmark, and the costs and charges paid during the reporting period.

Portfolio Loss Report

The bank presents the portfolio loss report to a portfolio management client, if the value of the portfolio has decreased by 10% compared to the value at the beginning of the reporting period, and thereafter upon each subsequent 10% decrease. The report is portfolio-based and submitted no later than at the end of the business day on which the 10% decrease was recorded.